	1110/070			Complete if Known		
Substitute for 1449/PTO				Application Number	09/676,374	
	RMATION			Filing Date	09-29-2000	
STA	LEMENT E	BY APPLIC	CANT	First Named Inventor	Ernest H. Zerenner	
				Art Unit	3696	
(use as many sheets as necessary)				Examiner Name	Felten, D.	
Sheet	1	of	4	Attorney Docket Number	POPT-0004	

U. S. PUBLICATION AND PATENT DOCUMENTS							
Examiner Initials	Cite No.	Document Number Number – Kind Code (if known)	Publication or Grant Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document			
	97	4,566,066	01-21-1986	Towers			
	98	4,648,037	03-03-1987	Valentino			
	99	4,961,139	10-02-1990	Hong et al.			
	100	4,989,141	01-29-1991	Lyons et al.			
	101	5,101,353	03-31-1992	Lupien et al.			
	102	5,161,103	11-03-1992	Kosaka et al.			
	103	5,189,056	02-23-1993	Orlando et al.			
	104	5,193,056	03-09-1993	Boes			
	105	5,220,500	06-15-1993	Baird et al.			
	106	5,247,575	09-21-1993	Sprague et al.			
	107	5,297,032	03-22-1994	Trojan et al.			
	108	5,497,317	03-05-1996	Hawkins et al.			
	109	5,502,637	03-26-1996	Beaulieu et al.			
	110	5,590,325	12-31-1996	Kolton, et al.			
	111	5,594,910	01-14-1997	Filepp et al.			
	112	5,644,727	07-01-1997	Atkins			
	113	5,675,746	10-07-1997	Marshall			
	114	5,749,077	05-05-1998	Campbell			
	115	5,893,079	04-06-1999	Cwenar			
	116	6,021,397	02-01-2000	Jones, et al.			
	117	6,226,623	05-01-2001	Schein et al.			

Examiner	/Daniel Felten/	Date	07/20/2012
Signature	/Damer renen/	Considered	07/20/2012

	1.1.10/DTO			Complete if Known		
Substitute for 1	1449/PTO			Application Number	09/676,374	
1		DISCLOS		Filing Date	09-29-2000	
STAT	STATEMENT BY APPLICANT			First Named Inventor	Ernest H. Zerenner	
				Art Unit	3696	
	(use as many sheets as necessary)			Examiner Name	Felten, D.	
Sheet	2	of	4	Attorney Docket Number	POPT-0004	

Examiner		Foreign Patent Document	Publication Date		
Initials	Cite No.	Country Code- Number - Kind Code (if known)	MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	I
	118	GB 2161003	01-02-1986	Merrill Lynch & Co Inc	
	119	GB 2210714	06-14-1989	Merrill Lynch & Co Inc	
	120	WO 97/42591	11-13-1997	Merrill Lynch & Co Inc	
	121	WO 98/09229	03-05-1998	Telexis Corporation	

NON PATENT LITERATURE DOCUMENTS								
Examiner Initials	Cite No. Include name of the author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), Volume-issue Number(s), publisher, city and/or country where published.							
	122	"Data Broadcasting Corporation Unveils Multiple-User Real-Time Stock Market Investment Tool", PR Newswire, April 8, 1997, 2 pages						
	123	"Equity Research/Portfolio Analytics Support", Wall Street & available) 1993, 136, Abstract	Technology,	(no month				
	"Faster Access to Newly Released Research via Investext", Information Today, March 1993, 5(1)							
	125	"First Call Notes to be Available on Fidelity's MAXXESS & MAXXnet", Business Wire, June 1997, 2 pages						
	126	"Hoover's, Inc. Introduces StockScreener Free World Wide Web Service for Investors", Information Today, September 1997, 45(1)						
	127	"IBM InfoSage Web-Based Service Debuts", Information Today, (no month available) 1996, 3 pages						
	128							
	129	"R.R. Donnelley Financial and IPO Crossroads Launch Highly Searchable IPO Database Website", PR Newswire, December 1996, 1204, 4 pages						
	130	"Telescan Inc. (Stock analysis Software) (1995 Guide to Computerized Trading) Futures						
	131	"Telescan launches Internet's First Complete Real-Time Information and Analysis Service						
	132	"Telescan Inc. Launches Internet's First Complete Real-Time Information and Analysis						
	"Telescan, Inc. Launches ProSearch 5.0: Fast Stock Screening Software Program Targets All Investors", Business Wire, June 1996, 6060617							
Examiner		Navial Falsaci	Date	07/20/2012				

	4.4.0/DTO			Complete if Known		
Substitute for 1449/PTO				Application Number	09/676,374	
	RMATION			Filing Date	09-29-2000	
STA	STATEMENT BY APPLICANT			First Named Inventor	Ernest H. Zerenner	
				Art Unit	3696	
	(use as many sheets as necessary)			Examiner Name	Felten, D.	
Sheet	3	of	4	Attorney Docket Number	POPT-0004	

		NON PATENT LITERATURE DOCUMEN	ITS					
	134	"Telescan, Inc. launches ProSearch 5.0: Fast Stock Screen All Investors", Business Wire, June 6, 1996, 3 pages	ing Software Program Targets					
	135	"Telescan, Inc.", Futures, July 1995, 46						
	"ZDNet Introduces Inter@active Investor, Web's Most Comprehensive Source of Finance News and Information on Tech Companies", PR Newswire, February 1998, 202							
	Armstrong, "Navigate the Networks Like a Wall Street Pro", Business Week, October 2004 224, Abstract							
	138	Barboza, "Reading the Tea Leaves Via Stock Analysis Soft September 21, 1997, 2 pages	ware", New York Times,					
	139	Carey, "Fidelity On-Line Express", PC Magazine, January 1	995, 14(1), 204(1), Abstract					
	140	Cronin, "Is Giving Away Data a Smart Way to Profit?", Fortu Abstract	ne, December 1997, 258,					
	141	Cullen, "Investing the High Tech Way (On-Line Products for June 1987, 68, Abstract	· Investors)", High Technology,					
	142	Dreyfuss, "How to Invest with Your PC", Fortune, October 1	990, 211(3)					
	143	Foust, "Screening Stocks on Your PC Screen", Business Week, October 1993, 142(2), Abstract						
	144	Gianturco, "Investment Software: Broker in a Box", Forbes, Inc., April 8, 1996, 157(7), S82(1) 3 pages						
	145	Gianturco, "Using Your PC to Pick the Best Fund Finder. (Steele Systems' Mutual Fund expert, Telescan's Mutual Fund Search, Value Line Publishing's Value Line Fund Analyzer and Morningstar's Ascent, Principia and Principia Plus fund-picking programs) (Forbes ASAP) (Software Review) (Evaluation)", Forbes, October 1996, S41, 5 pages						
	146	Gold, "Profile Increases Range of Online Service", Newsbyt	es, June 1990					
	147	Grinder, "An Overview of Financial Services Resources on Review, (no month available) 1997, 6(2), 125-140	the Internet", Financial Services					
	148	http://web.archive.org/web/19991110210901/telescan.com/	telescan prod prolerts.htm					
	140	Telescan, ProSearch Alerts, Accessed May 5, 2010						
	440	http://web.archive.org/web/20000309144734/telescan.com/	telescan prod procrit.htm					
	149	Telescan, ProSearch Alerts, Accessed May 5, 2010						
	150	Kan, "Street Smart 1.0", MacWorld, February 1995, 59, Abs	tract					
	151	Kawamoto, "On The StreetOnline", New & Noteworthy, Ja	anuary 1996, 2 pages					
Examiner Signature		/Daniel Felten/	Date 07/20/2012					

	4.4.0/DTO			Complete if Known		
Substitute for 1449/PTO				Application Number	09/676,374	
1	RMATION			Filing Date	09-29-2000	
STA	STATEMENT BY APPLICANT			First Named Inventor	Ernest H. Zerenner	
				Art Unit	3696	
	(use as many sheets as necessary)			Examiner Name	Felten, D.	
Sheet	4	of	4	Attorney Docket Number	POPT-0004	

	NON PATENT LITERATURE DOCUMENTS				
152	Moad, "Sabre Rattled", PC Week Executive, January 29, 1996, E1-E2				
153	Ojala, "The Dollar Sign", http://www.onlineinc.com/database, August/September 1996, Database, 82-88				
154	Price-Evans, "Investment Software: Plotting a Course on Supercharts", Investors Chronicle, February 3, 1995				
155	Retkwa, "Financial Pages: You Can Now Obtain Investment Intelligence That Rivals That of Professional Traders", Internetworld, February 1996, 66(6), Abstract				
156	Rosen, "Fitting the Plan to the Person", The New York Times, November 16, 1986, Section 12, Page 40, Column 1				
157	Sahgal, "OLTP Spells Success in Time-Pressed '90s", Wall Street Computer Review, January 1990, S42, Abstract				
158	Stern, "Trade in Your Old Way of Investing: With These Electronic Tools You Can Bring the Hottest Market Data Home Quickly", Home Office Computing, July 1993, 62, Abstract				
159	US Patent Application No. 10/607,418, Notice of Allowance dated February 5, 2010, 14 pages				
160	US Patent Application No. 11/611,043, Final Rejection dated November 24, 2009, 7 pages				
161	US Patent Application No. 11/611,043, Non-Final Office Action dated February 4, 2009, 6 pages				
162	Weiner, "Software Packages for Investors", Fortune, (no month available) 1986, 114, 185(3)				
163	Yakal, "Personal Investment Software, Investment 101", PC Magazine, November 19, 1996, 15(20), 341(9), 9 pages				

Examiner	/Daniel Felten/	Date	07/20/2012
Signature		Considered	0772072012